

# Numerical analysis of the internal kinematics and dynamics of three-dimensional breaking waves on slopes

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## ABSTRACT

In this paper, we describe the breaking and post-breaking in a three-dimensional numerical wave tank of a solitary wave over a sloping ridge. The numerical model is based on coupling a higher-order Boundary Element Method (BEM) solution of fully nonlinear potential flow equations to a Volume Of Fluid (VOF) method solving Navier-Stokes equations, in three-dimensions (3D). Analysis of wave profiles and kinematics (velocity, vorticity, pressure) are carried out.

## INTRODUCTION

Over the past two decades many studies have been carried out to achieve a better understanding of ocean wave breaking. The study of breaking waves is of importance in many applications, such that air-sea interactions, sediment transport, or to understand damages caused to ocean and naval structures. Among the different types of breaking waves, we are interested here in three-dimensional (3-D) plunging breakers, characterised by the formation of a prominent jet in shallow water, whose dynamics and kinematics are not yet fully understood.

Most of the numerical studies dealing with breaking waves have been carried out for two-dimensional (2-D) problems. Hence, only a few results are available for fully three-dimensional (3-D) breakers. In the present paper, the breaking of an incident solitary wave over a sloping ridge is investigated. To do so, two numerical methods are coupled, namely a Boundary Integral Equation method (BIEM) for the wave propagation and shoaling stages, and a Volume Of Fluid (VOF) method combined with a Navier-Stokes solver, for the breaking stage.

The higher-order 3D-BIEM model of Grilli et al. (2001), which solves fully non-linear potential flow equations, is very accurate and efficient for modeling wave shoaling and the initial stages of wave overturning, but is unable to deal with interface reconnection when breaking occurs.

The VOF interface tracking method is less numerically accurate and much more computationally intensive than the BIEM model for wave shoaling, but it allows to simulate breaking and post-breaking stages. Moreover, after breaking occurs, the flow becomes rotational so that potential theory becomes invalid. This is the reason why the coupling between the BIEM and VOF/Navier-Stokes solver is achieved. The pre-breaking phase is computed with the BIEM method, whereas the breaking and post-breaking phases are computed using the VOF method, thus combining the advantages of both methods.

The paper is organized as follows. The first section deals with mathematical formulation. In the second section the numerical models are briefly presented. Note that more details on numerical methods are given in a companion paper (Biaisser, et al., 2003). Finally, the case of a solitary wave overturning and breaking over a sloping bottom is analyzed in the last section.

## MATHEMATICAL FORMULATION

### BIEM formulation

Equations for fully nonlinear potential flows with a free surface are listed below. The velocity potential  $\phi(\mathbf{x}, t)$  is introduced to describe inviscid irrotational 3D flows, in Cartesian coordinates  $\mathbf{X}=(x, y, z)$ , with  $z$  the vertical upward direction ( $z = 0$  at the undisturbed free surface), and the fluid velocity is expressed as  $\mathbf{u} = \nabla \phi$ . Continuity equation in the fluid domain  $\Omega(t)$  with boundary  $\Gamma(t)$  is Laplace's equation

$$\nabla^2 \phi = 0. \quad (1)$$

Green's second identity transforms Eq. (1) into the Boundary Integral Equation (BIE)

$$\alpha(\mathbf{x}_I)\phi(\mathbf{x}_I) = \int_{\Gamma} \left[ \frac{\partial \phi}{\partial n}(\mathbf{x})G(\mathbf{x}, \mathbf{x}_I) - \phi(\mathbf{x}) \frac{\partial G}{\partial n}(\mathbf{x}, \mathbf{x}_I) \right] d\Gamma, \quad (2)$$

The boundary is divided into various parts in which different boundary conditions are specified. On the free surface  $\Gamma_f(t)$ ,  $\phi$  satisfies the nonlinear kinematic and dynamic boundary conditions,

$$\frac{D\mathbf{R}}{Dt} = \mathbf{u} = \nabla \phi, \quad (3)$$

$$\frac{D\phi}{Dt} = -gz + \frac{1}{2} \nabla \phi \cdot \nabla \phi - \frac{p}{\rho}, \quad (4)$$

respectively, in a Mixed Eulerian-Lagrangian formulation (MEL), with  $\mathbf{R}$  the position vector of a fluid particle on the free surface,  $g$  the acceleration due to gravity,  $p$  the atmospheric pressure,  $\rho$  the fluid density and  $D/Dt = \partial/\partial t + \nabla \phi \cdot \nabla$  the Lagrangian time derivative. The effects of surface tension are neglected. For simple waves, such as solitary waves, the free surface shape, potential and normal velocity of the incident wave are specified at time  $t = 0$  on the free surface based on Tanaka's method (Tanaka, 1986). More complex incident wave conditions can be specified using numerical wavemakers (e.g., Grilli and Horrillo, 1997, 1999; Brandini and Grilli, 2001).

On the bottom boundary,  $\Gamma_b$  and on other fixed parts of the boundary, a no-flow condition is prescribed as

$$\frac{\partial \phi}{\partial n} = 0. \quad (5)$$

Once the BIE (3) is solved, the solution within the domain can be explicitly calculated, based on boundary values.

### Navier-Stokes Formulation

The 3D Navier-Stokes equations for two-phase (air-water) flows are given as follows, in a semi-conservative curvilinear formulation:

$$\frac{1}{J} \frac{\partial W}{\partial t} + \frac{\partial F}{\partial \xi} + \frac{\partial G}{\partial \eta} + \frac{\partial H}{\partial \chi} = \frac{R}{J} + \frac{T}{J} \quad (6)$$

where  $F, G$  and  $H$  are flux terms,  $R$  is the volumetric force source term and  $T$  the surface tension source term, with :

$$F = \frac{1}{J} \begin{pmatrix} \rho \tilde{u} \\ \rho \tilde{u} u + \xi_x p - \bar{\nabla}(\xi) \cdot \bar{\tau}_x \\ \rho \tilde{u} v + \xi_y p - \bar{\nabla}(\xi) \cdot \bar{\tau}_y \\ \rho \tilde{u} w + \xi_z p - \bar{\nabla}(\xi) \cdot \bar{\tau}_z \end{pmatrix}; G = \frac{1}{J} \begin{pmatrix} \rho \tilde{v} \\ \rho \tilde{v} u + \eta_x p - \bar{\nabla}(\eta) \cdot \bar{\tau}_x \\ \rho \tilde{v} v + \eta_y p - \bar{\nabla}(\eta) \cdot \bar{\tau}_y \\ \rho \tilde{v} w + \eta_z p - \bar{\nabla}(\eta) \cdot \bar{\tau}_z \end{pmatrix};$$

$$H = \frac{1}{J} \begin{pmatrix} \rho \tilde{w} \\ \rho \tilde{w} u + \chi_x p - \bar{\nabla}(\chi) \cdot \bar{\tau}_x \\ \rho \tilde{w} v + \chi_y p - \bar{\nabla}(\chi) \cdot \bar{\tau}_y \\ \rho \tilde{w} w + \chi_z p - \bar{\nabla}(\chi) \cdot \bar{\tau}_z \end{pmatrix}; W = \begin{pmatrix} 0 \\ \rho u \\ \rho v \\ \rho w \end{pmatrix}; T = \begin{pmatrix} 0 \\ \sigma K n_x \\ \sigma K n_y \\ \sigma K n_z \end{pmatrix}; R = \begin{pmatrix} 0 \\ \rho f_x \\ \rho f_y \\ \rho f_z \end{pmatrix}$$

$$\tilde{u} = \xi_x u + \xi_y v + \xi_z w; \tilde{v} = \eta_x u + \eta_y v + \eta_z w; \tilde{w} = \chi_x u + \chi_y v + \chi_z w;$$

$$J = \frac{\partial(\xi, \eta, \chi)}{\partial(x, y, z)}$$

$$\bar{\tau}_x = \bar{\tau} \cdot \bar{e}_x \quad \bar{\tau}_y = \bar{\tau} \cdot \bar{e}_y \quad \bar{\tau}_z = \bar{\tau} \cdot \bar{e}_z \quad \bar{\tau} = \mu(\bar{\nabla} \bar{U} + \bar{\nabla}' \bar{U})$$

where  $(\xi, \eta, \chi)$  denote curvilinear coordinates,  $J$  is the Jacobian matrix of the coordinate transformation,  $\sigma$  is the surface tension coefficient,  $K$  the surface curvature and  $\bar{n} = (n_x, n_y, n_z)$  the normal vector to the interface. Additionally,  $(u, v, w)$  are the Cartesian velocity components for each phase,  $(\tilde{u}, \tilde{v}, \tilde{w})$  the contravariant velocity components,  $p$  the pressure,  $\rho$  the density,  $\mu$  the molecular viscosity, and  $\bar{\tau}$  the viscous stress tensor.

## NUMERICAL MODELS

### Numerical method for the BIEM model

A second-order explicit scheme based on Taylor series expansions is used to update the position  $R$  and velocity potential  $\phi$  on the free surface. A high-order Boundary Element Method (BEM) is used to solve numerically the BIEs for  $\phi$  and  $\partial\phi/\partial t$  (Grilli et al., 2001). The boundary is discretized into collocation nodes, defining two-dimensional elements for local interpolations of the solution in between these nodes. Within each element, the boundary geometry and field variables are interpolated using cubic polynomial shape functions

(the boundary elements are 4X4-node quadrilaterals associated with bi-cubic shape functions of which only the middle quadrilateral is used). The discretized boundary integrals are evaluated for each collocation node by numerical integration. Accuracy is increased in regions of high variability by redistributing nodes using a regridding technique based on the BEM shape functions.

### Numerical method for the VOF/Navier-Stokes model

Time discretization for the Navier-Stokes model is ensured using a fully implicit second-order scheme. The solution of the non-linear system for the unknown values at step  $n+1$  is based on the pseudo-compressibility method (Viviani 1980, De Jou ette et al. 1991), in which a time-like variable  $\tau$ , called pseudo-time is introduced. Thus, in Eq. (9), we add pseudo-unsteady terms, which are derivatives of the unknowns at time level  $n+1$ , with respect to  $\tau$ . These pseudo-density terms involve a new unknown  $\tilde{\rho}$ , called pseudo-density, which is constrained to remain positive. The pressure is calculated as a function of  $\tilde{\rho}$ , through an additional pseudo-state equation:

$$p^{n+1} = \rho(U_0^2 + \lambda U_n^2) \ln \left( \frac{\tilde{\rho}}{\rho} \right)^{n+1} \quad (11)$$

The choice of an optimal pseudo-state equation is discussed in Viviani (1995).

## NUMERICAL RESULTS

In this section, the two numerical models described above are used together to compute the shoaling and breaking of a solitary wave over a sloping ridge. The BIEM model is used for the initial stage, in which most of the wave shoaling occurs. Laboratory experiments confirm that potential flow theory is very accurate to describe wave transformations at this stage (Grilli et al., 1994, 1997). When the wave is close to overturning, the BIEM solution is used to initialize the Navier-Stokes/VOF model, both for free surface/interface geometry and internal velocity and pressure fields at cell centers. The second part of the simulation i.e. overturning, breaking and post-breaking, is computed with the VOF method, by solving Euler equations (i.e., viscosity is neglected). [Note, this coupling procedure was successfully applied in 2D by Guignard et al. (1999). Also see Biaisser et al. (2003).]

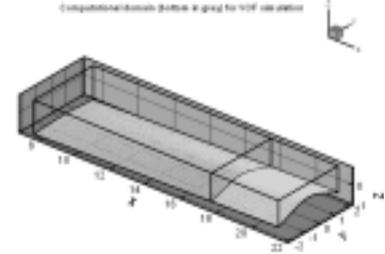


Figure 1: BIEM/VOF computational domain for solitary wave shoaling over a 3-D ridge

### Computational domain

The domain has a flat bottom (with depth  $h_0 = 1$  m), for  $x = 1$  to 5.225 m, and a sloping ridge, starting at  $x=5.225$  m, with a 1 : 15 slope in the middle cross-section ( $y = 0$ ) and a transverse modulation of the form  $\text{sech}(ky)$  ( $y = \pm 2$  m,  $k = 0.5$ ), so that the bottom slope on the sides is 1 : 36 (Fig. 1). For the first part of the simulation (BIEM), the ridge is truncated at  $x = 17.6$  m. Let  $H_0$  be the initial height of the wave and  $H_0' = H_0/h_0$ . The initial wave is a numerically exact solitary wave (Tanaka, 1986), with  $H_0' = 0.6$  and its crest initially located at  $x = 5.7$  m. The wave is propagated for time,  $t' = t\sqrt{g/h_0} = 0$  to  $t' = 6$ . The VOF model is initialized for  $t' = 6$  in the computational domain, for  $x \in [7.6; 21.3]$  using 352x40x64 computational cells (Fig. 1).

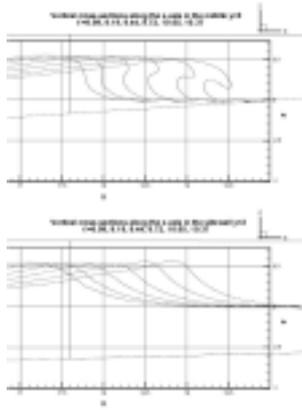


Figure 2: vertical cross-sections along the  $x$ -axis in  $y = 0$  and  $y = \pm 2$  for computations in computational domain of Fig. 1 of the shoaling of a solitary wave of initial height  $H' \approx 0.6$ .

### Overturning stage

As wave shoaling continues in the VOF domain (Fig. 1), the bottom variation progressively leads to wave overturning. Fig. 2 displays vertical cross-sections of the free surface calculated in the middle ( $y=0$ ) and at the sidewalls ( $y=\pm 2$  m) of the VOF computational domain, for times  $t' = 8.99, 9.19, 9.44, 9.72, 10.05, 10.37$ . Due to the depth variation over the ridge, the wave profile at  $y = 0$  develops overturning, with a prominent jet, while the surface profile at  $y = \pm 2$  is still of moderate slope. The wave reaches its maximum height of  $H' \approx 0.58$  near the breaking point. The surface elevation then gradually decreases as the jet of water is projected forward. Another interesting analysis is to compare the pressure field at the breaking point to an hydrostatic pressure field based on surface elevation. This allows estimating the applicability of long waves models, which assume hydrostatic pressure. One can see on Fig. 3 that the ratio between computed pressure and hydrostatic pressure varies between 0.2 and 2.5, for the wave near the breaking point (vertical tangent on the front face). The wave pressure field at the breaking point is clearly far from hydrostatic, especially just in front of the wave, due to large vertical accelerations (Guyenne and Grilli, 2002), neglected in long waves model.

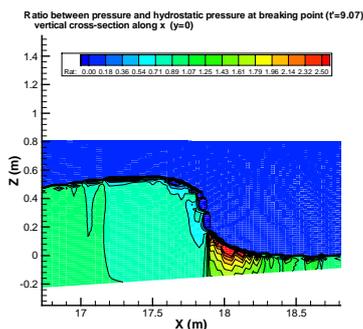


Figure 3: ratio between computed pressure and hydrostatic pressure for the breaking solitary wave of Fig. 2 ( $y = 0$ )

During breaking, high velocities are observed to occur in the breaker jet, due to high flow convergence. The velocity increases and exhibits more variation in the vertical direction as one enters the breaker jet.

### Breaking and post-breaking stages

Breaking occurs first at the centre of the ridge and then propagates progressively towards the sides. Fig. 4 displays the breaking for  $t' = 10.53, 10.68, 10.82$  and  $10.89$  at the centre of the ridge. The breaker jet impacts the forward free surface at  $x = 19.85$  m for time  $t' = 10.65$ .

The maximum non-dimensional velocity magnitude at this time is 1.63. The wave is submitted to a strong acceleration before breaking. The maximum acceleration magnitude is  $4.9 g$  just before impact. The 3-D aspects of breaking/post-breaking are shown on Fig. 5, where different vertical cross sections along the  $y$ -axis are displayed, and Fig. 6, where full 3-D views of breaking are shown. Initially, breaking occurs in the middle part of the wave but not yet on the side parts.

### Numerical performances and comparisons with BIEM results

The CPU time for the VOF simulations was five days and ten hours, on a Digital Dec alpha 500MHz bi-processor. The numerical error on volume conservation was less than 0.7%. The error on total energy conservation was larger, about 10%. This can be explained by the single-phase flow modelling used in the VOF model, in which fields are interpolated on the free surface cells. In the case of a solitary wave, maximum velocities are located at the wave crest, precisely where interpolations are applied. This leads to (non-physical) loss of both wave energy and amplitude. This was also observed by Guignard et al. (1999, 2001), in 2-D computations.

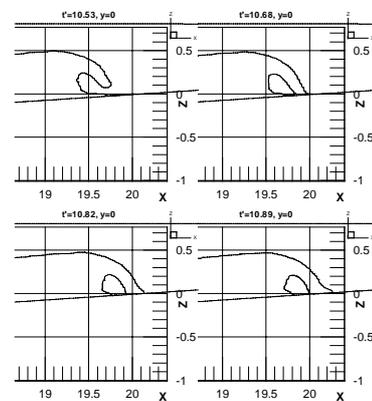


Figure 4 : breaking at the centre of the ridge for  $t' = 10.53, 10.68, 10.82$  and  $10.89$  ( $y = 0$ ) for results of Figs. 2-3.

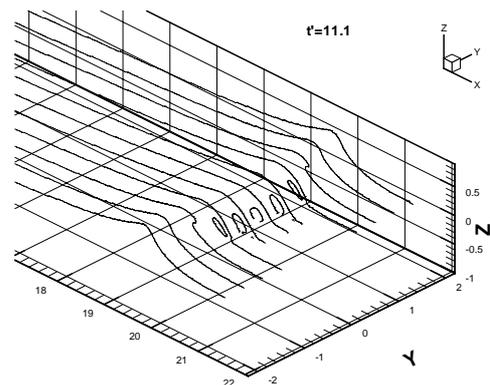


Figure 5 : breaking at  $t' = 11.01$  for different vertical cross-sections along the  $x$ -axis in Figs. 2-4 results.

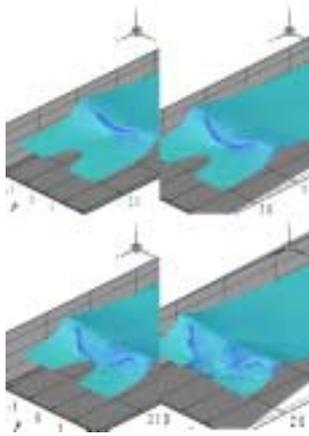


Figure 6: evolution of breaking (full 3-D view) for Figs. 2-5 results

The numerical loss of energy can also explain the delay observed for the onset of breaking in VOF results, with respect to BIEM results. Comparisons at  $t' = 9.182$  and  $t' = 9.196$  between VOF results and Guyenne and Grilli's BIEM results for  $y = 0$  m and  $y = 2$  m show that the wave height is larger with the BIEM. In Guyenne and Grilli's (2002) computations, wave energy was very precisely conserved and breaking occurred earlier (at  $t' \approx 9$  and  $x \approx 18$  m), than in the present computations. Wave height at breaking was also quite a bit larger, about 0.7 m (whereas it is 0.58 m in the present computations). The overturning stage is more developed for similar times in BIEM results. A more detailed comparison would show that the maximum computed velocity before impact is 1.63 in VOF results and 1.94 in BIEM results. The maximum acceleration at this stage is 4.9 g in VOF results and 5.9 g in BIEM results (Guyenne and Grilli, 2002). Thus, the wave motion is more dynamic and the computed velocities and accelerations are larger in BIEM results than with the Navier-Stokes/Euler solver. In addition to the advantage of using a more efficient and numerically accurate model, the lack of vorticity generated in the flow before jet impact further supports the relevance of using potential flow theory to model wave transformation up to near breaking with the BIEM model. Despite the differences discussed above, however, we see that, the wave general motion is similar with the two methods. The focusing on the ridge (especially the 3-D effects on transverse velocity), the dynamics and the aspect of the breaker jet are quite close.

## CONCLUSIONS

The coupling between BIEM and VOF methods has been used to successfully compute 3D overturning, (plunging) breaking and post-breaking stages of a large solitary wave over a sloping ridge with a lateral modulation. BIEM results computed close to breaking have been used to initialize the VOF method on a very refined grid, in which breaking and post-breaking stages, with interface reconnection, can be quite accurately computed.

Three-dimensional effects on wave profile and kinematics have been illustrated and discussed. Comparisons with late stages of BIEM computations before jet impact have shown that, despite a loss of amplitude and energy in the VOF model, the kinematics of the flow and general shape of the wave are consistent with those computed in the more accurate BIEM model.

Future improvements of the VOF model should include using viscosity (because only little vorticity is generated here after breaking), with a proper representation of dissipation at sub-grid

scales, and two-phase flows, which should allow to reduce the observed non-physical loss of wave energy before breaking.

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**Question by :** H. Bredmose

Why did you use the pseudo-compressibility method and what are the advantages of such a method ?

**Author's reply:**

the pseudo-compressibility method is a numerical method based on Chorin's works for steady flows. The aim of the method is to add a new unknown, the pseudo-density in the incompressible Navier-Stokes equations. The new terms involving this pseudo-density are derivatives of this new unknown with respect to an iterative variable, the pseudo-time. Formally, the new set of equations is very close to compressible Navier-Stokes equations, so that good mathematical properties are obtained (hyperbolicity with respect to pseudo-time). Consequently, the method is robust and enables to deal with two-phase flows (with high density ratios).